

**20th Annual FIBA AML Compliance Conference
Welcome & Opening Remarks**

9:45AM - 10:00AM

- David Schwartz, President & CEO, Florida International Bankers Association (FIBA)
- David Hernandez, FIBA Chair and Chief Risk Officer – BAC Florida Bank

What's New in the AML Landscape?

The panel will discuss the emerging trend of illegal gold smuggling in money laundering and political corruption, kidnapping and extortion from disclosure of personal financial information, and other relevant and timely issues in the AML/BSA landscape

Moderator

- Alcides I. Avila, Founding Partner, Corporate and Financial Services, Avila, Rodriguez, Hernandez, Mena & Ferri (ARHMF)

10:00AM - 11:00AM

Speakers

- John F. Tobon, Deputy Special Agent in Charge, Homeland Security Investigations – Miami
- Peter D. Ford, Director, Northeast Region, G4S Corporate Risk Services
- Jay Weaver, Federal Courts Reporter, Miami Herald
- Nick Nehamas, Investigative Reporter, Miami Herald
- Frank Maderal, Partner, Colson Hicks Eidson (former Assistant U.S. Attorney, Southern District of Florida)

11:00AM - 11:15AM

Coffee Break/Sponsor Content

11:15AM – 12:15PM

CCO Roundtable

Chief Compliance Officers from the financial services industry discuss their greatest challenges in the fight against money laundering and terrorist financing and the emerging trends facing the industry.

Moderator

- Ivan Garces, Chair, Risk Advisory Services Practice, Kaufman Rossin

Speakers

- Ilya Razuvanov, CAMS, CRCM, CFE, AML Advisory Head, BankUnited, N.A.
- Guillermo Horta, Chief Anti-Money Laundering/Anti-Terrorist Financing Officer, Scotiabank
- Jeff Horowitz, Chief Compliance Officer, Coinbase

12:15PM - 1:15PM

Lunch break/sponsor content/visit stands

1:15PM - 2:15PM

Fireside Chat with AnnaLou Tirol, Associate Director, Strategic Operations Division, and Matthew Stiglitz, Associate Director, Global Investigations Division, Financial Crimes Enforcement Network (FinCEN)

Moderator

- David Schwartz, President & CEO, Florida International Bankers Association (FIBA)

2:15PM - 2:30PM

Coffee Break/Sponsor Content

2:30PM – 3:30PM

OFAC Sanctions and Their Global Impact

OFAC sanctions and Executive Orders have created an extremely challenging environment for banks to conduct business with Venezuela and its citizens. Our panel of experts will cover everything you need to know and arm you with best practices for mitigating Venezuelan and other AML/OFAC risks.

Moderator

- Daniel Gutierrez, Vice President & Regulatory Risk Manager, Ocean Bank

Speakers

- Rachel Dondarski, Chief, Regulated Industries Oversight and Evaluation, Sanctions Compliance and Evaluation Division, Office of Foreign Assets Control (OFAC)
- Andres Fernandez, Partner, Holland & Knight
- Eugenio Hernandez-Breton, Partner, Baker McKenzie
- Monica Peters, Regional Head of Sanctions, Latin America, HSBC

AML Global Exchange

This panel will discuss the latest money laundering risks and AML developments in different parts of the world, including the money laundering situation in the Baltics, the 5th EU AML Directive and related developments, approaches to corporate registries, and international cooperation in combating money laundering.

10:00AM - 11:00AM

Moderator

- **Les Joseph**, Manager, Global Financial Crimes Intelligence Group, **Wells Fargo**

Speakers

- **Yehuda Shaffer**, Consultant, **Former Deputy State Attorney and Head of the Israeli FIU**
- **Thomas Spies**, Of Counsel, Assurance, EMEA Financial Services, **Ernst & Young GmbH**
- **Brian Fonseca**, Director, Jack D. Gordon Institute for Public Policy, **Florida International University's (FIU) Steven J. Green School of International and Public Affairs**

11:00AM - 11:15AM

Coffee Break/Sponsor Content

Ask the U.S. Regulators and Policy Makers

Moderator

- **Andres Fernandez**, Partner, **Holland & Knight**

Speakers

- **Debra L. Novak**, Chief, Anti-Money Laundering Section, Division of Risk Management Supervision, **FDIC**
- **Noah Bishoff**, Director, Office of Regulatory Policy, **Financial Crimes Enforcement Network (FinCEN)**
- **Rachel Dondarski**, Chief, Regulated Industries Oversight and Evaluation, Sanctions Compliance and Evaluation Division, **Office of Foreign Assets Control (OFAC)**
- **Koko Ives**, Manager, BSA/AML Compliance Section, Division of Banking Supervision and Regulation, **Board of the Governors of the Federal Reserve System**
- **Spencer Doak**, Director for BSA/AML Compliance Policy, **Office of the Controller of the Currency (OCC)**

11:15AM – 12:15PM

12:15PM - 1:15PM

Lunch break/sponsor content/visit stands

How financial institutions Can Aid in the Human Trafficking Struggle

"I dream of a world free of human trafficking and forced labor. I have fought for decades and will for the rest of my life to end these horrific practices" – Rani Hong. The panel will analyze the very important role of financial institutions and how they can aid in the struggle.

1:15PM – 2:15PM

Moderator:

- **Christopher A Snyder**, Head of Financial Crime Threat Mitigation LAM, Financial Crime Threat Mitigation Compliance, **HSBC Mexico**

Speakers

- **Rani Hong**, Human Trafficking Survivor, CEO, **Rani's Voice**
- **John F. Tobon**, Deputy Special Agent in Charge, **Homeland Security Investigations – Miami**
- **Benjamin G. Greenberg**, Shareholder, **Greenberg Traurig, P.A.**

2:15PM - 2:30PM

Coffee Break/Sponsor Content

Recent Investigative Trends and Enforcement Actions with Respect to International Corruption

There have been an increasing number of federal criminal investigations, criminal prosecutions, and significant asset seizures in the United States, and particularly in South Florida, arising out of complex international corruption schemes that have involved banks and financial institutions doing business in the U.S., Latin America and the Caribbean. We are very fortunate to have representatives of Homeland Security (HSI), the Federal Bureau of Investigation (FBI), the Internal Revenue Service (IRS), the Drug Enforcement Administration (DEA), and the Department of Justice (DOJ), sharing their unique and very timely insight into recent anti-corruption enforcement actions and the latest investigative trends that potentially impact the banks and financial institutions globally.

Moderator

- **Robert Targ**, Partner, **Diaz Reus International Law Firm**

Speakers

- **Michael N. Berger**, Assistant United States Attorney, U.S. Attorney's Office, **Southern District of Florida**
- **Mack Strong**, Supervisory Special Agent, **Homeland Security Investigations (HSI)**
- **John Siddons**, Acting Assistant Special Agent in charge, **IRS Criminal Investigations in South Florida**
- **Rick Simpson**, Supervisory Special Agent, International Corruption Unit, **Federal Bureau of Investigation**
- **William Callo**, Special Agent, **DEA Miami Field Division**

2:30PM – 3:30PM

DAY THREE | Wednesday, September 30th, 2020

10:00AM - 11:00AM

LATAM CEO Roundtable *(in Spanish)*

CEOs from the Latin American financial services industry discuss current issues and emerging trends in financial crime and illicit finance.

Moderator

- **Juan C. Zarate**, Chairman & Co-Founder, **K2 Intelligence Financial Integrity Network**

Speakers

- **Juan Marotta**, CEO, **HSBC Argentina**
- **Julio Rojas**, CEO, **BAC Florida Bank**
- **Luis Niño de Rivera**, Presidente, **Asociación de Bancos de México (ABM)**

11:00AM - 11:15AM

Coffee Break/Sponsor Content

11:15AM – 12:15PM

Implications of the FinCEN SAR Leak

Moderator

- **Alcides I. Avila**, Founding Partner, Corporate and Financial Services, **Avila, Rodriguez, Hernandez, Mena & Ferri (ARHMF)**

Speakers

- **Daniel Gutierrez**, Vice President & Regulatory Risk Manager, **Ocean Bank**
- **John F. Tobon, John F. Tobon**, Adjunct Professor, **FIU Steven J. Green School of International and Public Affairs**
- **Jay Weaver**, Federal Courts Reporter, **Miami Herald**
- **Carl Fornaris**, Shareholder, Co-Chair, Financial Regulatory and Compliance Practice, **Greenberg Traurig, P.A.**

12:15PM - 1:15PM

Lunch break/sponsor content/visit stands

1:15PM – 2:15PM

Regional Regulators Roundtable *(in Spanish)*

Regulators from around Latin America will discuss the challenges in creating programs in their individual countries and the challenges the region faces in meeting international expectations, including those raised by technological innovation.

Moderator

- **Mariano Federici**, Vice President, **K2 Intelligence Financial Integrity Network**, ex-Presidente de la Unidad de Información Financiera (UIF), **Argentina**

Speakers

- **Wendy G. Lora Pérez**, Presidenta Pro Tempore de **GAFILAT**, Directora General, **Unidad de Análisis Financiero, Republica Dominicana**
- **Amauri A. Castillo**, Superintendente de Bancos de **Panama**
- **Jorge Castaño Gutiérrez**, Superintendente Financiero, **Superintendencia Financiera de Colombia**
- **Sandro Garcia Rojas Castillo**, Vicepresidente de Supervisión de Procesos Preventivos, **Comisión Nacional Bancaria y de Valores (México)**

2:15PM - 2:30PM

Coffee Break/Sponsor Content

2:30PM – 3:30PM

Managing financial crime risk through use of artificial intelligence and machine learning

This panel of banking and technology experts will discuss the application of new technologies to AML/CFT in reducing false positives without compromising effectiveness. They will highlight innovation successes and challenges.

Moderator

- **Jairo Namur**, Founder/Chief Commercial Officer, **Intelligence For Action LLC**

Speakers

- **Philip V. McLaughlin, III**, Chief Information Officer, **QuantaVerse**
- **Jennifer Shasky Calvery**, Global Head of Financial Crime Threat Mitigation, **HSBC**
- **Stuart Feldhamer**, Principal, **Crowe**
- **Daniel Wager**, Vice President, Global Financial Crime & Compliance, **LexisNexis Risk Solutions**

DAY FOUR | Thursday, October 1st, 2020 Latin American Public/Private Sector Dialogue

Welcome & Opening Remarks

9:45AM – 10:00AM

- **David Schwartz**, President & CEO, **Florida International Bankers Association (FIBA)**
- **Giorgio Trettenero**, Secretary General, **FELABAN**

10:00AM – 11:00AM

FATF's Follow-up Process

- International Cooperation and Review Group (ICRG), including discussion of the Gray List and its impact
- Technical Compliance versus Effectiveness
- Regular and Enhanced Follow-up

Speakers:

- **Scott Rembrandt**, Deputy Assistant Secretary for Strategic Policy, Office of Terrorist Financing and Financial Crimes (TFFC), **U.S. Department of the Treasury**
- **Wendy G. Lora Pérez**, Presidenta Pro Tempore de GAFILAT, Directora General, Unidad de Análisis Financiero, **Republica Dominicana**

11:00AM - 11:15AM

Coffee Break/Sponsor Content

Breakout Session 1:

How is COVID-19 Impacting the Regional FIUs Ability to Manage Risk?

- By governments and financial institutions
- Risk understanding and mitigation
- International cooperation
- Effectiveness of Supervision
- Preventative Measures of FIIs
- Use of financial intelligence
- Money Laundering and Terrorist Financing Investigations and Prosecutions
- Implementation of Targeted Financial Measures

11:15AM – 12:15PM

Speakers

- **Wendy G. Lora Pérez**, Presidenta Pro Tempore de GAFILAT, Directora General, Unidad de Análisis Financiero, **Republica Dominicana**
- **Mariano Federici**, Vice President, **K2 Intelligence Financial Integrity Network**, ex-Presidente de la Unidad de Información Financiera (UIF), **Argentina**

11:15AM – 12:15PM

Breakout Session 2:

Temas Candescentes y Prioridades de Cumplimiento del 2020 Hot Topics and 2020 Compliance Priorities (In Spanish)

Resumen de las Tendencias Clave que se nos Avenenan en el 2020 en el Cumplimiento FATCA / CRS / OFAC / AML /FCPA y como estas Tendencias se Interconectan.

Summary of the Key Trends that are coming in 2020 in FATCA / CRS / OFAC / AML / FCPA Compliance and how these Trends Interconnect.

Speakers

- **Stanley Foodman**, President and CEO, **Foodman CPAs & Advisors**
- **Catherine McGrail**, Director of FATCA and CRS Compliance, **Foodman CPAs & Advisors**
- **Isabelle Wheeler**, Director of AML and OFAC Compliance, **Foodman CPAs & Advisors**

12:15PM - 1:15PM

Lunch break/sponsor content/visit stands

1:15PM – 2:15PM

How is Latin American Risk Currently Perceived

- **James Sayko**, SVP, Head of Financial Crimes Controls, Institutional Banking and Payments, **Wells Fargo**
- **Scott Rembrandt**, Deputy Assistant Secretary for Strategic Policy, Office of Terrorist Financing and Financial Crimes (TFFC), **U.S. Department of the Treasury**
- **Dustin Palmer**, Managing Director, **Promontory Financial Group**

2:15PM - 2:30PM

Coffee Break/Sponsor Content

Conclusions and Closing Remarks

2:30PM – 3:30PM

- **David Schwartz**, President & CEO, **Florida International Bankers Association (FIBA)**
- **Carlos Troetsch**, President, **FELABAN**
- **Scott Rembrandt**, Deputy Assistant Secretary for Strategic Policy, Office of Terrorist Financing and Financial Crimes (TFFC), **U.S. Department of the Treasury**

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- **Ramón Alberto González**, Presidente del Comité COPLAFT, FELABAN

DAY FIVE | Friday, October 2nd, 2020 RegTech Revolution: Modernizing the Fight Against Financial Crime

How Banks are Employing Machine Learning and Data Analytics in Transaction Monitoring

10:00AM – 11:00AM

Moderator

- **Tim Mueller**, Partner, Global Investigations and Compliance (GIC), Guidehouse

Speakers

- **Scott Nathan**, Managing Director, Head of Innovation for AML and Risk, **State Street Corporation**
- **Cassandra “Cassie” Lentchner**, Senior Counsel, **Pillsbury Winthrop Shaw Pittman LLP**

11:00AM - 11:15AM

Coffee Break/Sponsor Content

Customer Profiling, use of Innovative Technologies in Onboarding and Risk Assessment

11:15AM – 12:15PM

Moderator

- **Jairo Namur**, Founder / Chief Commercial Officer, **Intelligence For Action LLC**

Speaker

- **Ana Maria H. de Alba**, AMLCA, CPAML, CEO & Founder, **CSMB**
- **Nikhil Aggarwal**, Managing Director, **Promontory Financial Group**
- **David McLaughlin**, Chief Executive Officer, **QuantaVerse**

12:15PM - 1:15PM

Lunch break/sponsor content/visit stands

1:15PM – 2:15PM

Leveraging Digital Identity Intelligence to Mitigate Financial Crime Risk

Speakers

- **Adrian Sanchez**, LATAM Director of Market Planning, **LexisNexis Risk Solutions**

2:15PM - 2:30PM

Coffee Break/Sponsor Content

Regulatory Expectations – Governance and Model Validation

Moderator

- **Walter J. Mix III**, Managing Director and Financial Services Practice Group Leader, **Berkeley Research Group, LLC & Chairman, International Bankers Association of California (confirmed)**

Speakers

2:30PM – 3:30PM

- **Donna M. Murphy**, Deputy Comptroller for Compliance Risk Policy, **Office of the Controller of the Currency (OCC)**
 - **Erik D. Kiefel**, Acting Director, Office of Strategic Policy, Policy Division, **FinCEN**
 - **Maglio Munoz**, CAMS, First Vice President, BSA Manager, **East West Bank**
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