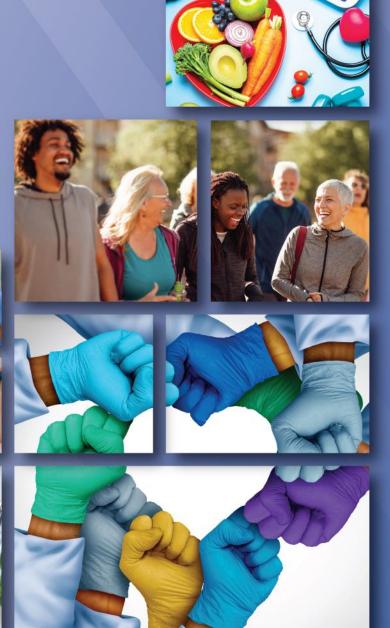




Natalia Chalmers DDS, MHSc, PhD

Chief Dental Officer
Office of the Administrator, CMS





CMS Oral Health Vision



Improve beneficiaries' health by **integrating oral health** and transforming the health care system to advance health equity, expand coverage, and improve health outcomes.

Oral Health Strategy Fundamental Principles



Equity Focused



Evidence Empowered



Data Driven



Integration Centered



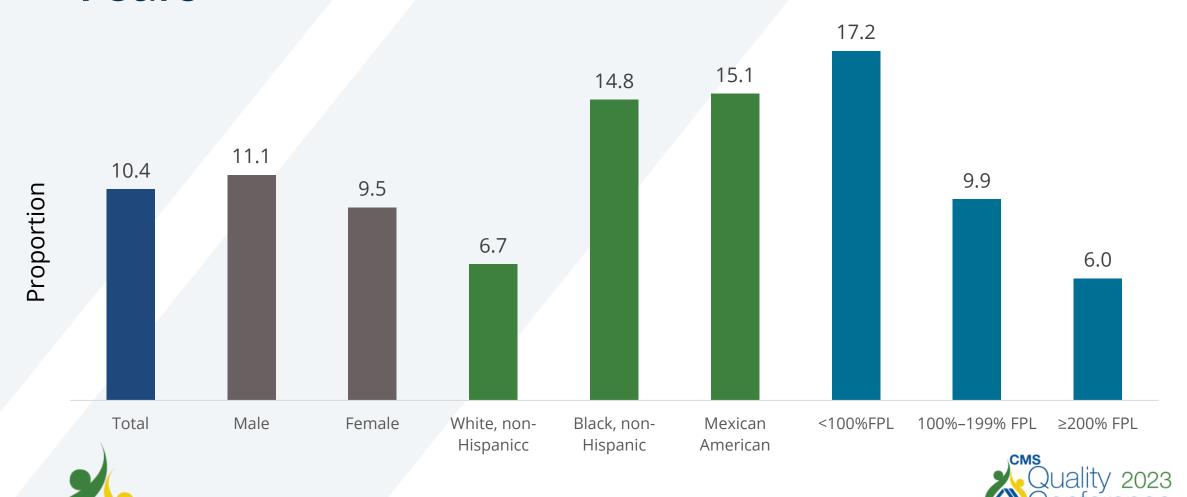
Stakeholder Engagement



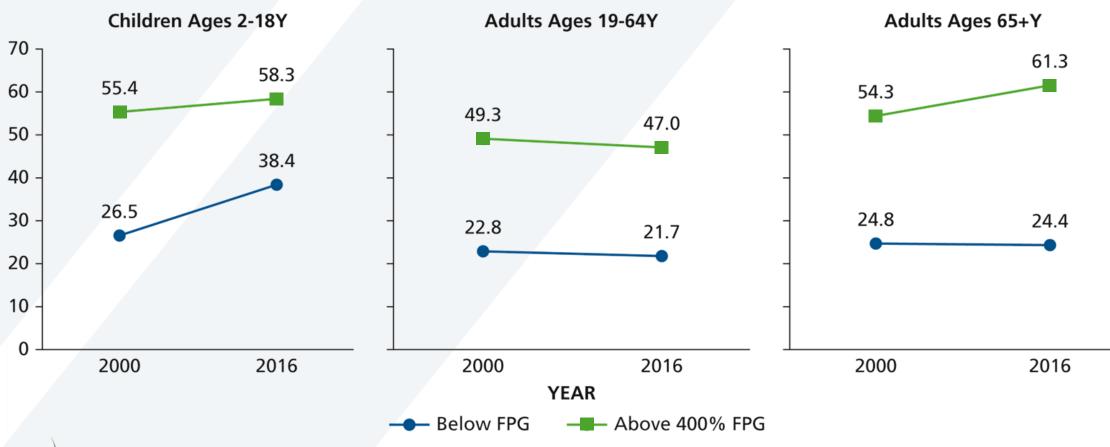
Innovation
Focused
CMS
Quality 2023
Conference
Building Resilient Communities



Prevalence of Untreated Tooth Decay In Primary Teeth Among Children Aged 2–5 Years



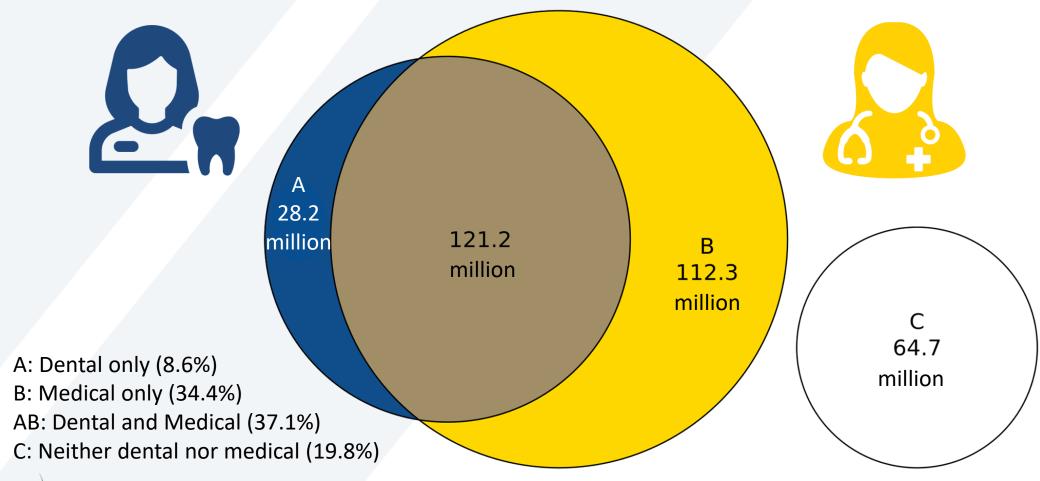
Dental Visit in the Past Year By Poverty







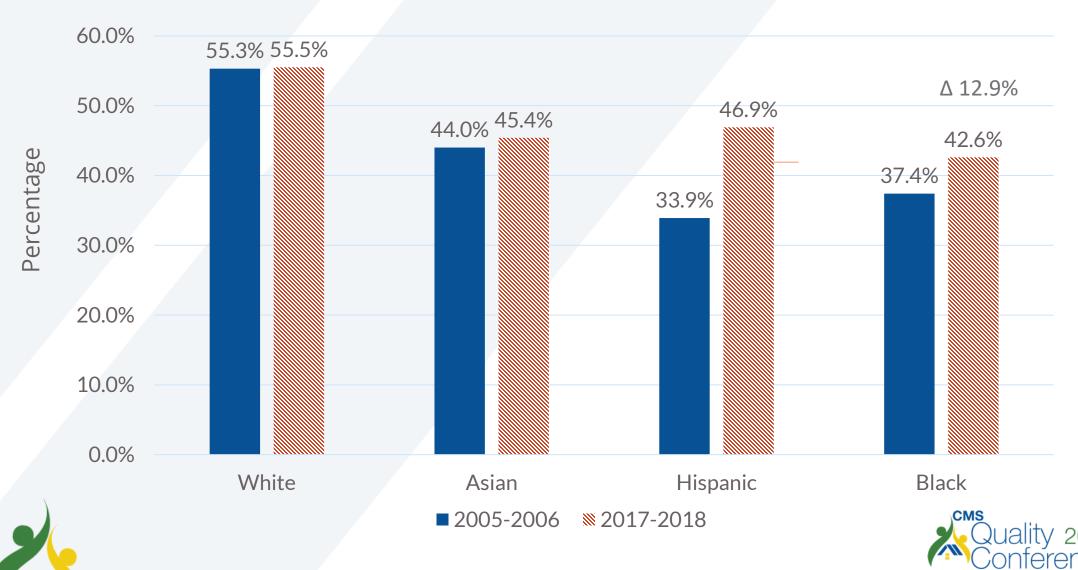
Population with Any Dental and Medical Visits







Children With A Dental Visit In The Past Year



Percentage of Medicaid Beneficiaries Ages 1 to 20 Who Received Preventive Dental Services, FFY

2020 WA MT ND OR MN ID NH **=** SD MA 🔳 WY RI 🔲 CT ■ IA NE NV NJ 🔳 DE 🔳 UT CO MD . CA KS MO **♦DC** NC TN OK AZ NM AR SC GA LA TX AK FL 12.4% to 35.4% 35.5% to 41.4% 41.5% to 44.4% 44.5% to 59.0% Did Not Report

Population: Beneficiaries ages 1 to 20 enrolled in Medicaid or Medicaid expansion CHIP programs for at least 90 continuous days and eligible for EPSDT services

Notes:

This measure shows the percentage of children ages 1 to 20 who are enrolled in Medicaid or Medicaid expansion CHIP programs for at least 90 continuous days, are eligible for Early and Periodic Screening, Diagnostic, and Treatment (EPSDT) services, and who received at least one preventive dental service during the measurement period (October 2019 to September 2020).

Source:

Mathematica analysis of Form CMS- 416 reports (annual EPSDT report), Lines 1b and 12b, for the FFY 2020 reporting cycle as of July 2, 2021. Starting with FFY 2020, some states calculated and submitted their Form CMS-416 reports, while others chose to have CMS produce their Form CMS- 416 reports using Transformed Medicaid Statistical Information System (T-MSIS) data. The FFY 2020 reporting cycle includes services provided between October 2019 and September 2020.

Additional information available at:

https://www.medicaid.gov/medicaid/qua lity-ofcare/downloads/performance-_measurement/2021child-chart-pack.pdf



Source: https://www.medicaid.gov/medicaid/quality-of-care/downloads/beneficiary-profile-2022.pdf

6

The Effect of Poor Dental Health on Children

Severe Early Childhood Caries





Joanna Douglass, BDS, DDS

Source: smilesforlifeoralhealth.org



Poor academic performance



Emergency Department Use



School attendance, i.e. student absenteeism



Pain and Infection

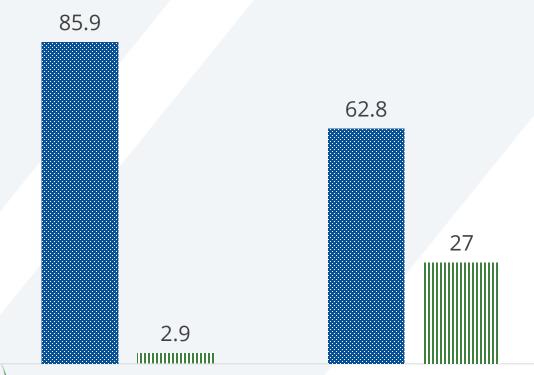
Source: Ruff *et al*. Journal of the American Dental Association 2019





Parents Dental Care Experience is Key to Coverage and Access

Child with Dental Visit IIII Child without Dental Visit



Parent without Dental Visit

The Association Between Medicaid **Adult Dental Coverage And** Children's Oral Health

care, Medicaid-eligible children are more likely to experience tooth decay than children in higher-income families. Using data from the 1999-2016 National Health and Nutrition Examination Survey and the 2003, 2007, and 2011-12 waves of the National Survey of Children's Health, we examined the association between Medicaid adult dental coverage (an optional benefit) and children's oral health. Adult dental coverage was associated with a statistically significant 5-percentage-point reduction in the prevalence of untreated caries among children after Medicaid-enrolled adults had access to coverage for at least one year. These policies were also associated with a reduction in parent-reported fair or poor child oral health with a two-year lag between the onset of the policy and the effect. Effects were concentrated among children younger than age twelve. We estimated declines in poor oral health among all racial and ethnic subgroups, although there was some evidence that non-Hispanic Black children experienced larger and more persistent effects than non-Hispanic White children. Future assessments of the costs and benefits of offering adult dental coverage may consider potential effects on the

dentist annually (29 percent versus 55 percent). when a parent has a dental visit. As many general All state Medicaid programs cover a comprehendentists treat both adults and children, families vices for children under the Early and Periodic ents and children have dental coverage, reducing Screening, Diagnostic, and Treatment benefit. transportation barriers and requiring less tim Although financial barriers are frequently re- off work. Parent dental coverage may also reduce dental care among both adults and children,3 could increase available resources for children's noncost barriers may also play an important role in explaining income-based disparities in chil-

ooth decay remains the most visits when their parents have dental coverage or ase.1 Medicaid-eligible children may facilitate children's dental care use in sever compared with children in higher-ilies but are less likely to visit the tal benefits available to publicly insured children



Having an Equitable Foundation for Quality Healthcare

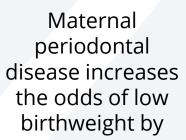
Source: Lipton et al. Health Affairs 2021

Parent with Dental Visit

Proportion

Association Between Maternal Periodontal Disease and Adverse Pregnancy Outcomes





10%



Maternal periodontal disease increases the odds of preterm birth by



Maternal periodontal disease increases the odds of spontaneous abortion by





Maternal periodontitis increases the odds of any maternal complications by

19%

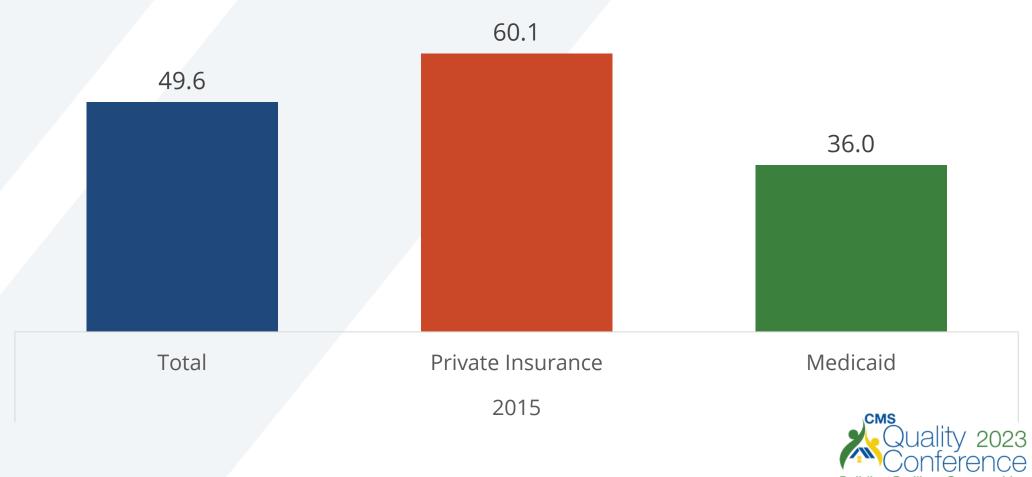


15%

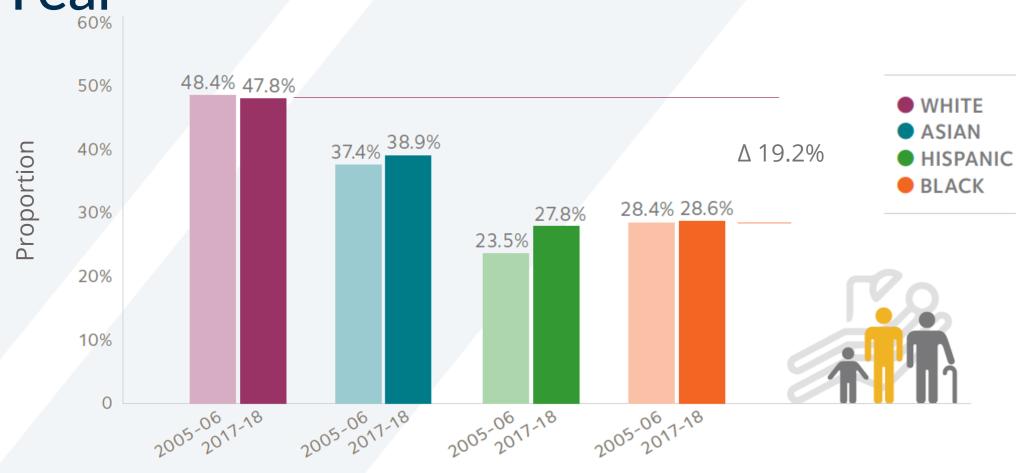


Dental Visit During Pregnancy

Percentage of women who had a dental visit for cleaning during pregnancy



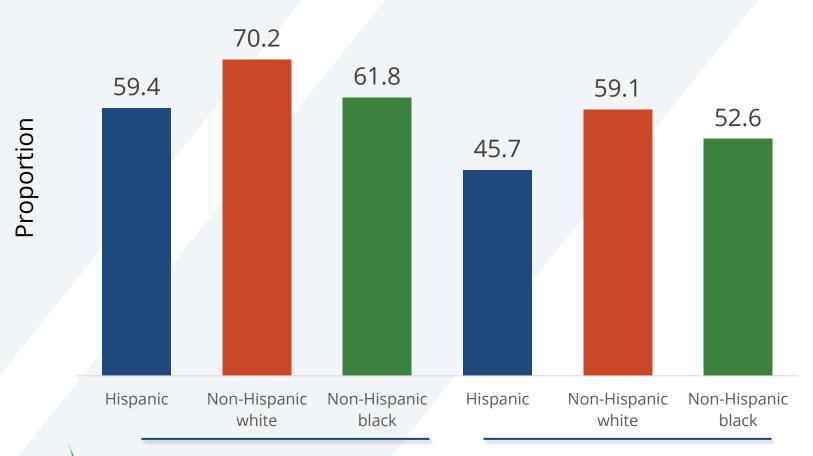
Adults With A Dental Visit In The Past Year



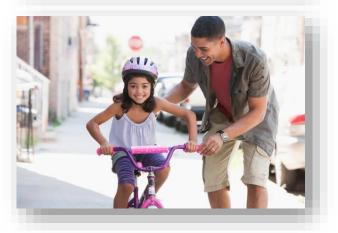




Urban-Rural Differences in Dental Care Use Among Adults Aged 18–64





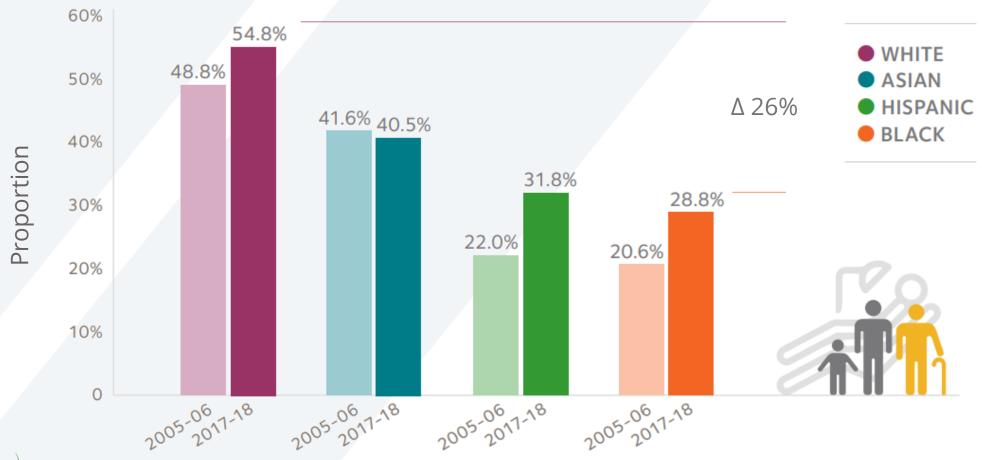






Source: National Center for Health Statistics, National Health Interview Survey, 2019.

Seniors With A Dental Visit In The Past Year





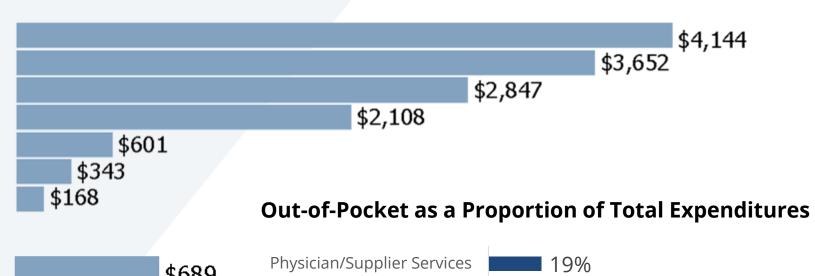


Health Care Service Expenditures per Capita for Selected Service Types Among Medicare Beneficiaries Living Only in the Community, in Dollars, 2018

Out-of-Pocket

Prescription Drugs Physician/Supplier Services **Inpatient Hospital Services Outpatient Hospital Services Dental Services** Medicare Home Health Services Medicare Hospice Services

Physician/Supplier Services Prescription Drugs **Dental Services Outpatient Hospital Services** Inpatient Hospital Services





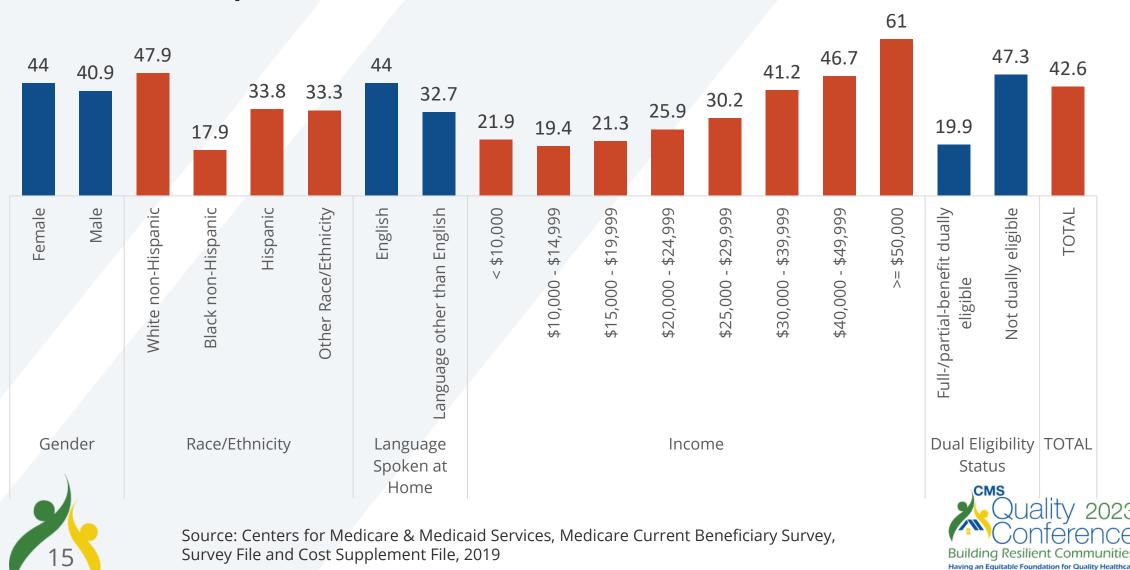




\$4,144

\$3,652

Percentage of Medicare Beneficiaries Living Only in the Community Who Had at Least One Dental Exam in 2019

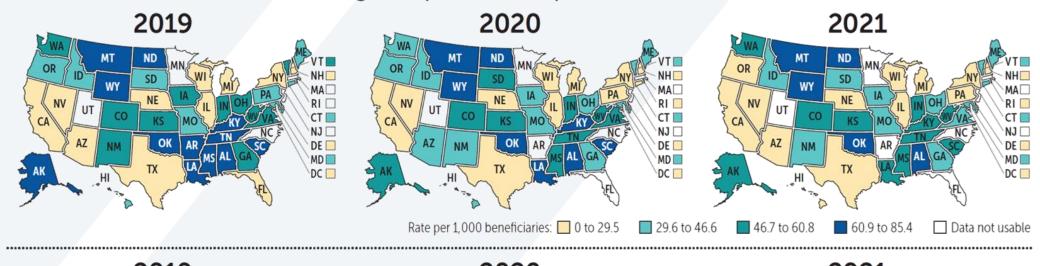


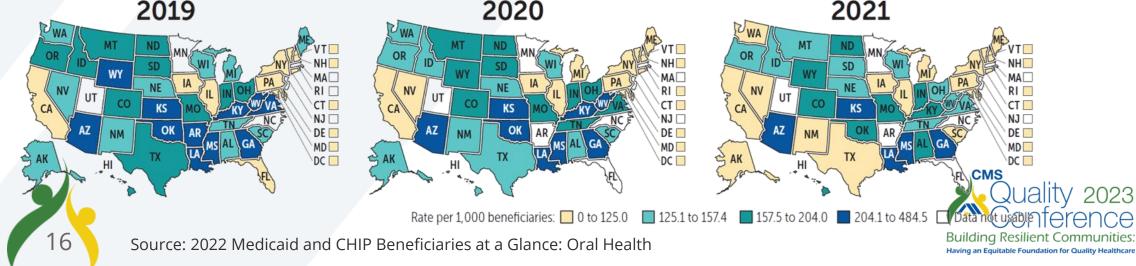
Dental-Related Opioid Prescriptions

Dental-Related Opioid Prescriptions

From 2019 to 2021, rates of adult Medicaid beneficiaries receiving a dental opioid prescription fell from 134 to 105 per 1,000 beneficiaries. Rates declined for adolescents, from 38 to 33 per 1,000 beneficiaries.

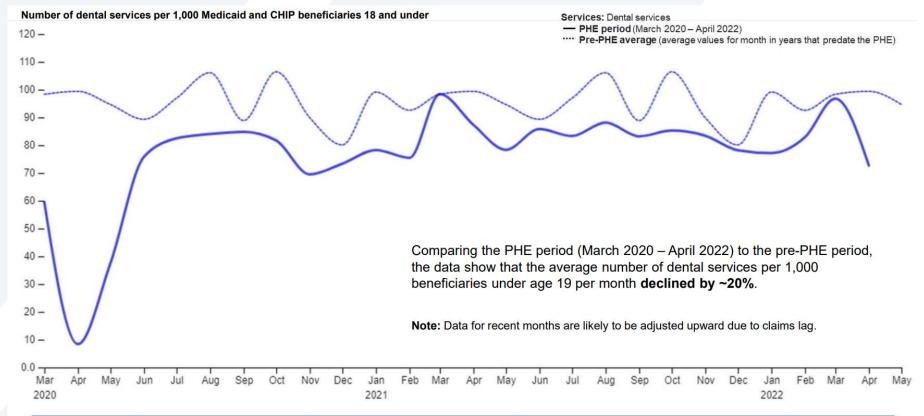
Rate of Beneficiaries Receiving an Opioid Prescription After a Dental Visit, 2019-202111





Rate of Dental Services for Children During the PHE

After an initial steep decline, remained slightly below pre-PHE levels

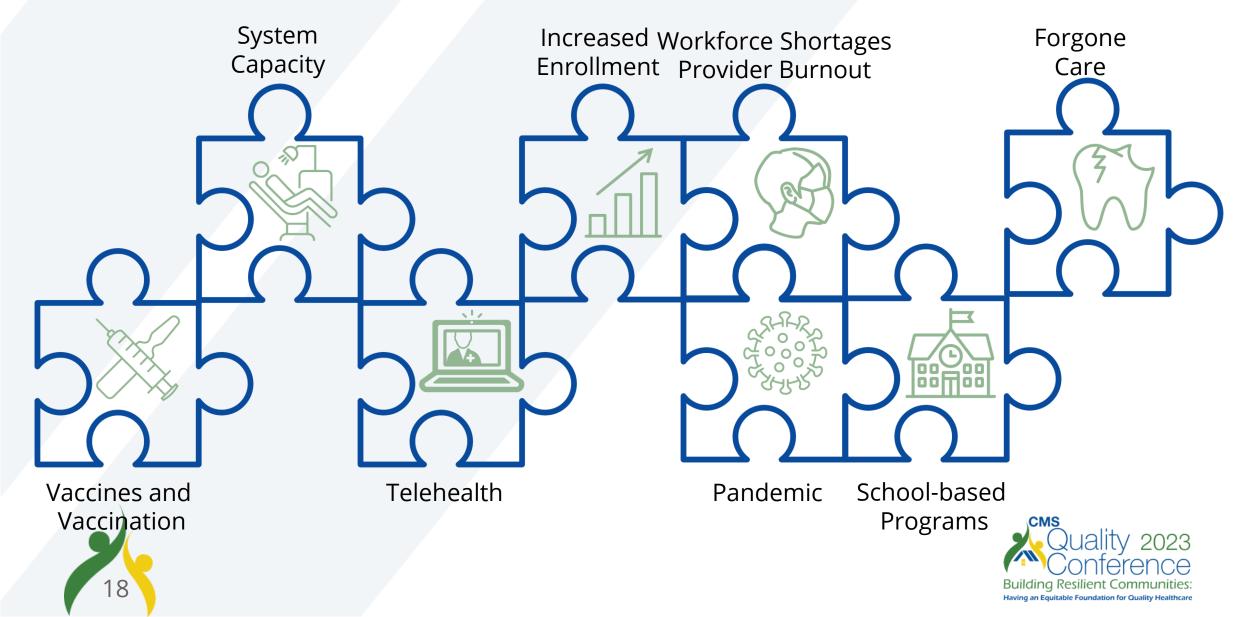


Notes: These data are preliminary. Data are sourced from the T-MSIS Analytic Files v7 in DataConnect using final action claims. They are based on June T-MSIS submissions with services through the end of May. Recent dates of service have very little time for claims runout, and we expect large changes in the results after each monthly update. Because data for May are incomplete, results are only presented through April 30, 2022. The PHE period includes data for March 2020 through April 2022. The pre-PHE average is the average of all values for that month





Oral Health: Challenges and Opportunities



Oral Health Sessions at the 2023 CMS Quality Conference

Sessions

- Welcoming plenary and remarks by the Chief Dental Officer Dr. Natalia Chalmers
- Session 1: Advancing Oral Health Equity Through Quality Improvement Measures
- Session 2: Engaging Partners and Communities to Improve Oral Health
- Session 3: Using Quality Improvement and Oral Health Integration to Improve Oral Health and Overall Health Outcomes

Posters

- Early intervention and Monitoring of Timeliness of Medical and Dental Visits in Foster Care Children using Data Visualization
- Oral Health and Access to Dental Care Among Medicare Beneficiaries
- Dental Coverage Status and Utilization of Preventive Dental Services by Medicare Beneficiaries Living in the Community, 2019





Advancing Oral Health Equity Through Quality Improvement Measures

Advancing Oral Health Equity through Member Self-Assessment

Mary E. Foley, MPH
Medicaid | Medicare | CHIP
Services Dental Association















Session Objectives

Participants will gain knowledge of:

- New survey tool to assess oral healthcare equity among Medicaid adult members; and
- How the *Iowa Oral Health Equity Self-Assessment Tool* may improve program administration











Program Improvement Project (PIP) Slide 1 of 2

Objectives

- Increase compliance with Federal Regulation regarding new member assessment
- Increase oral healthcare equity across the Iowa Medicaid Dental Program
- Increase use of preventive services among all members





Program Improvement Project (PIP) Slide 2 of 2

Aims

- Improve program administration
- Improve member outreach and outcomes
- Identify medical, dental, oral health, and social risks that create barriers to use of services for each member
- Identify disparities by age, race, ethnicity, gender, and disability
- Address the specific risks and needs of new members
- Increase use of services



Iowa Oral Health Equity Self-Assessment Tool At-a-Glance

Member Survey	Member Reports	State & Vendor Aggregate Reports	State & Vendor Equity Reports
General Questions:AgeRace & EthnicityGender IdentityDisability, etc.	 General Information Member Benefit Information Medical Resources Oral Health Resources 	Frequency of members by all data variables	Frequency of members who reported Medical, Oral Health, Dental and Social Risk Factors by: • Age • Race
Medical Risks	 Dental Provider 		• Ethnicity
Oral Health Risks	Resources • Social Service		GenderDisability
Dental Risks	Agencies and		• Other
Social Risks	Resources based on identified social risks		





Member Self-Assessment: Member Information

Tell us about yourself...

Please complete the survey below. Your responses will help us find the perfect resources to help you improve your health and brighten your smile.



? Enter your Medicaid ID located on your Dental Wellness Plan card.

Please enter your response

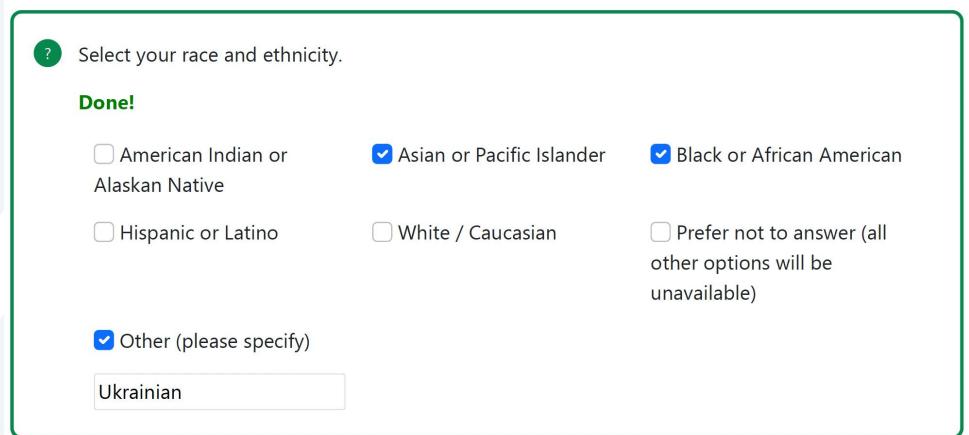
AQ

Please enter 7 numbers followed by a letter.





Member Self-Assessment: Race & Ethnicity





Member Self-Assessment: Language

? Select the primary language you speak at home.

Please enter your response

English

Spanish

Other (please

specify)





Member Self-Assessment: Disability

Do you have any disabilities? Done! • Yes (please O No specify) Physical Disability ✓ Intellectual Other (please specify) and/or Developmental Disability





Member Self-Assessment: Medical Risk Factors



Do you have any major Disease?	medical conditions like	e diabetes, heart disease	e, stroke, or Parkinson's		
Please enter your response					
Yes (please specify)	○ No				
Diabetic	☐ Heart disease	✓ Stroke	Cancer		
Parkinson	Pregnancy	Osteoporosis	Auto-Immune disease		
☐ Eating Disorders	Kidney Disease	Chronic Lung Disease	Alzheimer's		
Other (please specify)					





Member Self-Assessment: Oral Health Risk Factors

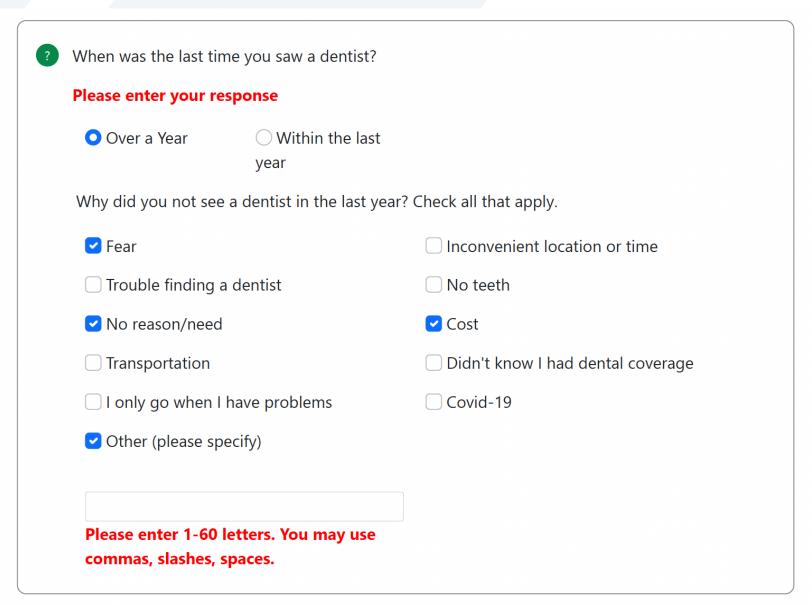
Done!			
Poor	○ Fair	Good	Excellent
Have you had an	y dental pain in the last	month?	
Have you had any	y dental pain in the last	month?	





Member Self-Assessment:

Dental Visits







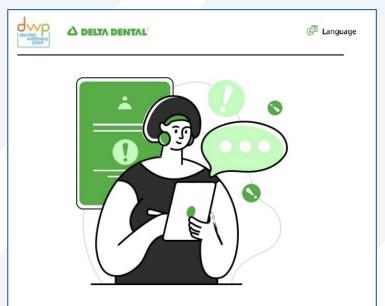
Member Self-Assessment: Social Risk Factors

Would you like additional support services? Check all that apply. Done! Get more information Contact me about other Make an appointment about my dental health with a dentist questions I have Find Child Care Find Food or Meal Find Shelter Services Access Transportation Find Home Health Care ✓ No, thank you! (all other) options will be unavailable)





Member Report



Survey Results

Date/Time Submitted	8/10/2021 04:36 PM EST	
IME Number	123456789	
What is your ethnicity	Asian or Pacific Islander	
Health: Do you have any major health condition like diabetes, heart disease, stroke, cancer or Parkinson's Disease?	Yes	
Oral Health: What is the overall condition of your mouth?	Fair	
Oral Health: Have you had dental pain in the last month?	No	
Oral Health: Do your gums bleed when you brush?	No	
Oral Health: Are all of your teeth gone?	No	
Oral Health: Do you smoke or use e-cigarettes?	Yes	
Oral Health: Do you brush your teeth with fluride toothpaste?	No	
Oral Health: Do you frequently snack on sugary foods or drinks?	No	
Access: How long has it been since you last visited a dentist or a dental clinic for any reason?	Over 2 years	
Access: If you did not see a dentist in the last 12 months, what were the main reasons?	Fear, Inconvinient location or time, Transportation	
Support Services: How may we help you?	Make an appointment with a dentist, Get more information about my dental health Access Transportation	

©2022 PreViser Corp / Terms of Use / Privacy Statement





Thank you for completing the survey.

You may find helpful informations using the links below.



Dental Resources

Supplemental Nutritional Assistance Program (SNAP) To see if you're eligible for SNAP, visit www.dhs.iowa.gov/how-to-apply

If you already receive SNAP, you can access your account information on the web at https://www.connectsbt.com/. There is no charge for using online access.



Food Assistance

Supplemental Nutritional Assistance Program (SNAP) To see if you're eligible for SNAP, visit www.dhs.iowa.gov/how-to-apply

If you already receive SNAP, you can access your account information on the web at https://www.connectsbt.com/. There is no charge for using online access.



Child Care

Supplemental Nutritional Assistance Program (SNAP) To see if you're eligible for SNAP, visit www.dhs.iowa.gov/how-to-apply

If you already receive SNAP, you can access your account information on the web at https://www.connectsbt.com/. There is no charge for using online access.



Find a Dentist

Supplemental Nutritional Assistance Program (SNAP) To see if you're eligible for SNAP, visit www.dhs.jowa.gov/how-to-apply

If you already receive SNAP, you can access your account information on the web at https://www.connectsbt.com/. There is no charge for using online access.



Transportation

Supplemental Nutritional Assistance Program (SNAP) To see if you're eligible for SNAP, visit www.dhs.iowa.gov/how-to-apply

If you already receive SNAP, you can access your account information on the web at https://www.connectsbt.com/. There is no charge for using online access.



Housing

Supplemental Nutritional Assistance Program (SNAP) To see if you're eligible for SNAP, visit www.dhs.iowa.gov/how-to-apply

If you already receive SNAP, you can access your account information on the web at https://www.connectsbt.com/. There is no charge for using online access.

Member Resources









Frequency Distribution of New Members by Race & Ethnicity N=200



Disparities Report

Dental Pain Among New Members by Race & Ethnicity

N=45/200

	# Dental Pain	% Dental Pain
Total	<mark>45</mark>	23%
Al	0	0%
A/PI	1	2%
B/AA	<mark>19</mark>	<mark>42%</mark>
H/L	14	31%
W/C	8	18%
Prefer to NA	2	4%
Other	1	2%





Disparities Report Dental Pain Among New Members by Race & Ethnicity N=45/200







Disparities Report

Dental Pain Among New Members by Specific Racial & Ethnic Cohorts

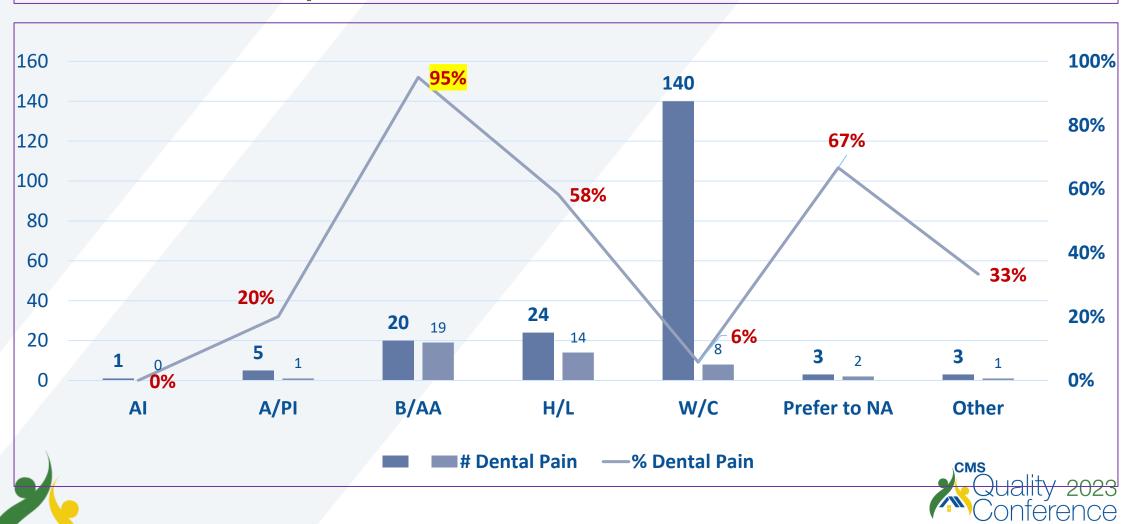
N=200 n=45

Race/Ethnicity	Frequency	# Dental Pain	% Dental Pain
Al	1	0	0%
A/PI	5	1	20%
<mark>B/AA</mark>	<mark>20</mark>	<mark>19</mark>	<mark>95%</mark>
H/L	24	14	58%
W/C	140	8	6%
Prefer to NA	3	2	67%
Other	3	1	33%
Subtotals	200	45	





Disparities Report Dental Pain Among New Members by Specific Race & Ethnic Cohorts



Monitory Regularly with Data Dashboard

	Total Number New Members Ages 18+		Ages 21-39	Ages 40-64	Ages 65+	AI/AN	Asian/PA	Hispanic Latino	Black A	White Caucasian	Other	English Language	Spanish Language	Other Language	Disability	Dental Pain	Last Dental Visit >1 Year	Food Insecurity	Housing Insecurity
January																			
February																			
March																			
April																			
May																			
June																			
			1						_	_	_								



Continuous Quality Improvement





Program Improvement Project (PIP)

Based on findings, create administrative solutions:

- Outreach
- Call Center
- Coordination with Social Service Agencies
- Coordination with Medical MCOs
- Çare Management Services
 - Continue to Monitor Regularly



Contact



Mary E. Foley, MPH

Executive Director

Medicaid, Medicare, CHIP Services Dental Association

mfoley@medicaiddental.org











Jill Boylston Herndon, PhD

jill.herndon@keyanalyticsconsulting.com















Disclosures

 Dr. Herndon is presenting in her capacity as a methodology consultant to the Dental Quality Alliance.





Dental Quality Measures: Where We Were

IOM (2011), *Advancing Oral Health In America*, Key Findings and Conclusions

"Oral health lags significantly behind the remainder of the health care system in developing quality measures, and as a result, little is known about the quality of oral health care."



U.S. Department of Health and Human Services

Centers for Medicare & Medicaid Services

2008 NATIONAL DENTAL SUMMARY

January 2009

Dental Quality Alliance: CMS is interested in forming a Dental Quality Alliance (DQA) and is currently in discussions with the American Dental Association (ADA) to begin this process. The DQA would bring together parties from many aspects of oral health fields including national dental organizations, Federal and State partners, payers and consumers to begin working together on measurements that could be used by States for purposes of improving the delivery of oral health services and the development of quality measures. These measures could ultimately be used to enhance reporting on the CMS form 416 or through state-based value based purchasing initiatives. While children eligible for Medicaid will be the primary area of concern, the DQA will also address dental services for the adult population.



Today: Reliable & Validated Measures

Dental Quality Alliance Measures	Adults/Pregnancy
Children	

Oral Evaluation*	Oral Evaluation During Pregnancy (New!)
Topical Fluoride for Children*	Utilization of Services During Pregnancy (New!)
Sealant Receipt on Permanent 1st Molars*	Topical Fluoride: Adults at Elevated Caries Risk
Sealant Receipt on Permanent 2 nd Molars	Oral Evaluation: Adults with Diabetes
Care Continuity	Periodontal Evaluation: Adults with Periodontitis
Caries Risk Documentation	Non-Surgical Ongoing Care: Adults with Periodontitis
Ambulatory Care Sensitive ED Visits for Dental Caries	Ambulatory Care Sensitive ED Visits for Non- Traumatic Dental Conditions
Follow-Up After ED Visits for Dental Caries	Follow-Up after ED Visits for Non-Traumatic Dental Conditions
Utilization of Services	

Othization of Services

Preventive Services

Treatment Services

Usual Source of Services

Per Member Per Month Cost of Clinical

Services

*CMS Child Core Set

Used for QI, Public Reporting, & Payment Programs – Examples:

Centers for Medicare & Medicaid Services: Medicaid and CHIP Child Core Set

Health Resources & Services Administration: Uniform Data System Reporting

Covered California – Health Benefit Exchange, Plan Contracts

State Medicaid and CHIP Programs (Payment Programs, Public Reporting, QI, Plan Contracts)



Dental Quality Alliance's State Oral Healthcare Quality Dashboard

Dynamic, interactive dashboard of DQA dental quality measures

Population: State Medicaid and CHIP beneficiaries (all states)

Data Source: Transformed Medicaid Statistical Information System (T-MSIS)

Years: 2016-2018 with 2019 and 2020 in progress





T-MSIS Dashboard: Current

CHILDREN



MEASURES

- Utilization of Services (dental, oral health, and dental or oral health)
- Oral Evaluation (dental)
- Care Continuity for Children (dental)
- Caries Risk Documentation (dental or oral health)
- Topical Fluoride (dental, oral health, and dental or oral health)
- ED Visits for Dental Caries
- 7-Day Follow-Up after ED Visit for Dental Caries (dental)
- 30-Day Follow-Up after ED Visit for Dental Caries (dental)





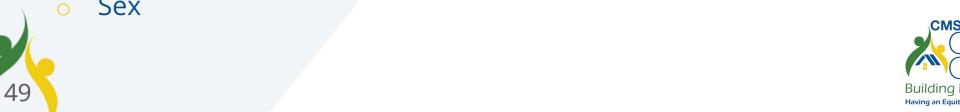
T-MSIS Dashboard: Features

FILTERS

- State
- Year(s)
- Program: Medicaid or Medicaid & CHIP
- Service Type: Dental, Oral Health, Dental or Oral Health
- Stratification by Population Characteristics
 - Age
 - Geographic Location
 - Language
 - Race/Ethnicity
 - Sex

OTHER FEATURES

- National Comparison Report
- Time Trend Report
- State Measure Comparison Report
- Measure and stratification data quality assessments



Dashboard: Data Quality Assessments

Key element of dashboard

 Overall quality indicator for each measure, state, year, program, and type of service combination

Methods (in brief)

- Assessed data quality for each critical data element used to calculate the measure
- Used CMS-Data Quality Atlas quality evaluations for relevant topics
 (e.g., program enrollment, claims volume, service use, diagnosis/procedure codes)
- Conducted independent assessments for additional fields
 (e.g., provider taxonomy codes, CDT codes, dental claims completeness)
- Aligned cut-points and data quality categories with Data Quality Atlas
- Data quality assignment based on data element with greatest level of "concern"

Data Quality Indicator Categories (adapted from CMS Data Quality Atlas)

Low concern

No major problems identified that would affect measure scores.

Medium concern

Some problems identified that may affect measure scores; effects expected to be modest.

High concern

Major problems in data completeness or reliability that are likely to significantly affect measure scores.

Unusable

Extreme problems in data completeness or reliability.

Okay to report with some caution for medium concern

Not recommended to report

Note: Quality assessments reflect such evaluations as data field completeness and legitimate codes. They do not reflect incomplete capture of codes in claims data at the care site due to such factors as benefit design and reimbursement.





Dashboard: Data Quality Reporting

Why include measure scores in the dashboard when known data quality is poor?



 Other users of T-MSIS data may report on dentalrelated topics for all states without due consideration to data quality. The DQA dashboard enables users of those reports to understand how reporting reliability may be affected by data quality.





Let's go to the dashboard!



https://www.ada.org/resources/research/dental-quality-alliance/dqa-improvement-initiatives

Having an Equitable Foundation for Quality Healthcare



Dashboard Take-Aways: Data Quality

Data Quality: Critical Data Elements

 Measure score data quality classifications of "high concern" or "unusable" ranged from 10% to 55% of states across state/measure/year combinations.

Data Quality: Stratification Variables - Needed to Assess Disparities

- Data on age, sex assigned at birth, and geographic location are well filled.
- 2/3 of states are missing more than 10% of race/ethnicity data, and
 1/2 of states are missing more than 20% of race/ethnicity data





Dashboard Take-Aways: Disparities

- Among states with sufficiently complete data to reliably examine variations in quality measures by race and ethnicity, in general:
 - Lowest scores among: non-Hispanic black and non-Hispanic American Indian/ Alaskan Native
 - Highest scores among: non-Hispanic Asian and Hispanic children
- However, patterns in disparities may vary across measures and across states.

Source: Herndon, J.B., Ojha, D. (2022) <u>Racial and Ethnic Disparities in Oral Healthcare Quality among Children Enrolled in Medicaid and CHIP</u>. *Journal of Public Health Dentistry.* 81(S1):89-102.





Turning Data into Action: Recommendations

- Use dashboard quality indicators to identify opportunities to improve data quality to support reliable measure reporting.
- Improve collection of race and ethnicity.
- Use dashboard reports to identify areas with performance gaps to focus improvement efforts.
- Use dashboard filters to examine measure scores by population characteristics to identify disparities in care to focus interventions to improve health equity.





T-MSIS Dashboard: Coming Soon!

Additional Pediatric Measures

Sealants on Permanent Molars

Additional Years

2019 and 2020

Adult Measures





T-MSIS Data Acknowledgement & Resources

- DQA Oral Healthcare Dashboard reports are part of a research project titled "The State of Oral Healthcare Use, Quality and Spending: Findings from Medicaid and CHIP Programs," made possible through Data Use Agreement (DUA) RSCH-2020-55639 with the Centers for Medicare & Medicaid Services.
- Centers for Medicare & Medicaid Services, T-MSIS Data:
 https://www.medicaid.gov/medicaid/data-systems/macbis/medicaid-chip-research-files/transformed-medicaid-statistical-information-system-t-msis-analytic-files-taf/index.html
- T-MSIS Analytics Files (TAF) Data Quality Atlas: https://www.medicaid.gov/dq-atlas/welcome





For More Information

Email DQA: dqa@ada.org

DQA Website: www.ada.org/dqa

Dashboard: https://www.ada.org/resources/research/dental-quality-

alliance/dqa-improvement-initiatives

Measure specifications: https://www.ada.org/resources/research/dental-

quality-alliance/dqa-dental-quality-measures

Advancing performance measurement as a means to improve oral health, patient care, and safety through a consensus-building process.





