



Indian Health Service

DIVISION OF GRANTS MANAGEMENT (DGM)

MARSHA BROOKINS

DIRECTOR

Topics

1. The Role of the Division of Grants Management
2. The Lifecycle of all Awards
3. Types of IHS Awards
4. Essential functions of our Federal Partners
5. Facts and Statistics
6. Funding Opportunities, NOFOs and Timelines
7. In a Perfect Grants World
8. Reducing Barriers and Burdens for Award Recipients
9. Grant Operation Improvements
10. Questions & Answers



The DGM Mission



To provide efficient and effective management and administration of IHS financial awards through quality customer service and technical expertise to all our valued internal and external customers.



DGM Functions

The Division of Grants Management (DGM) is under the Office of Management Services

1. Award, and administer grants and cooperative agreements for IHS.
2. Provide federal staff and award recipients with guidance, financial management, and technical assistance
3. Provide policy guidance and clarification; update and communicate new policies
4. Training and technical assistance for federal staff and award recipients
5. Manage awards through ongoing monitoring and evaluation
6. Coordinate with HHS and IHS offices to address and resolve compliance issues



DGM Staff

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IHS Grant Management Process



Federal Awards Process & Partners

Federal Financial Assistance is provided through:

- A legal instrument reflecting a relationship between the United States Government and a State, a local government, or other recipient when the principal purpose of the relationship is to transfer a thing of value to the State or local government or other recipient to carry out a public purpose of support or stimulation authorized by a law of the United States instead of acquiring (by purchase, lease, or barter) property or services for the direct benefit or use of the United States Government.

Two types of awards:

- **Grants:** A grant is one of the ways the government funds ideas and projects to provide public services, address critical needs and stimulate the economy.
- **Cooperative Agreements:** Unlike grants, substantial involvement is expected between the executive agency and the State, local government, or other recipient when carrying out the activity contemplated in the agreement.



Grants.GOV

www.grants.gov

Scroll down to the “Agency” filter and click the + next to the Department of Health and Human Services (HHS). You will see there are over 1,300 available opportunities.

Choose Indian Health Service to see all forecasted and current opportunities.

Click on the opportunity number for the Notice of Funding Opportunity (NOFO) and more detailed information about the specific grant.

Grant Solutions

www.grantsolutions.gov

GrantSolutions is the official system of record for tracking funded grants.

Allows DGM to track the entire process from cradle to grave.

All official actions are recorded in GrantSolutions:

- Application Kits
- Application Submission
- Application Review
- Funding Memo
- Award Creation
- Award Issuance
- Post Award Amendments
- Award Closeout

Payment Management System

www.pms.psc.gov

Federal partner that processes all financial actions related to the grant.

With PMS, an awardee can:

- Complete a payment request.
- Perform APEX inquiries.
- Run report requests.
- Complete the Federal Financial Report (FFR).

Let's talk Facts and Stats!



As of August 2023

- 30 different financial award programs
- Grants and Cooperative Agreements
- The awards combined total \$300 million in FY 2022
- \$ 4 billion dollars total in various awards including scholarship loans and contracts.
- 1% of the total of all HHS grants
- Two types of grants: discretionary and nondiscretionary or mandatory



Grants and Cooperative Agreements

Number of Programs	Grant Programs	Cooperative Agreements
31	13	18

What's the difference
between
grants and cooperative agreements?

Program	Grant or CA
ALZ	CA
BH2I	G
TAP	G
TPI	G
COIPP	G
TDCP	G
TMD	G
SDPI	CA
DVP	G
FHC	G
ETHIC	CA
EPI	CA
HLY	CA
INMED	G
INPSY	CA
IPP	CA
NIHOE	CA
NUIBH	CA
NU	CA
PARD	CA
PPPP	CA
PHN	CA
SAPTA	G
SPIP	G
TSGN	CA
TSGP	CA
UIHP2	G
UIHP3	CA
YRTC	CA
ZSI	G
ZSICC	CA

Offices with Grant or Cooperative Agreements

Office of Public Health Support

Office of Direct Service and Contracting Tribes

Office of Clinical & Preventive Services

Office of Tribal Self Governance

Office of Environmental Health and Engineering

Office of Urban Indian Health Programs

Office of Human Resources



Acronyms, Codes, Program Name, CFDA

ALZ	H1H8	Addressing Dementia in Indian Country	93.933
BH2I	BH17	Behavioral Health Integration Initiative	93.654
COIPP	H1H5	Community Opioid Intervention Pilot Projects	93.933
DVP	BH15	Domestic Violence Prevention	93.653
EPI	U1B1	Epidemiology and Disease Prevention	93.231
ETHIC	H1H9	Ending the HIV/HCV Epidemics in Indian Country	93.933
FHC	BH21	Domestic Violence Prevention: Forensic Healthcare Services	93.653
HLY	H1H4	Healthy Lifestyles in Youth	93.933
InMed	D911	American Indians into Medicine (Indians into Medicine)	93.970
InPsy	D912	American Indians into Psychology (Indians into Psychology)	93.970
IPP	D261	Injury Prevention Program (also referred to as TIPCAP)	93.284
NIHOE I	U251	National Indian Health Outreach and Education	93.933
NU	NU11	American Indians into Nursing (Nursing Schools)	93.970
P4	H1D6	Pilot Prescription	93.237
PARD	BH18	Preventing Alcohol-Related Deaths through Social Detoxification	93.654
PHN	NU12	Public Health Nursing	93.383
SAPTA	BH23	SASP: Substance Abuse Prevention, Treatment, and Aftercare	93.654
SDPIF	H1D3	SDPI - Federal Service Unites	93.237
SDPIP	H1D1	SDPI - Community Directed	93.237
SDPIS	H1D4	SDPI - Self-Governance Tribes	93.237
SPIP	BH22	SASP: Suicide Prevention, Intervention, and Postvention	93.654
TAP	H1H6	CHAP Tribal Assessment & Planning	93.382
TDCP	U3D2	Dental Preventive Clinical Support	93.933
TMD	D255	Development Grants for IHS or Facilities (Tribal Management)	93.228
TPI	H1H7	CHAP Tribal Planning & Implementation	93.382
TSGN	U153	Tribal Self-Governance Negotiation	93.444
TSGP	U152	Tribal Self-Governance Planning	93.444
UIHP2	H722	Urban Indian Health Program 4-in-1	93.193
UIHP3	H723	Urban Indian Health Program (Education and Research)	93.193
YRTC	BH19	Youth Regional Treatment Center Aftercare Program	93.654
ZSI	BH20	Zero Suicide Initiative	93.654
ZSICC	BH24	Zero Suicide Initiative Coordinating Center	93.654

FY 2022 GRANTSOLUTIONS OVERVIEW

Partner

IHS

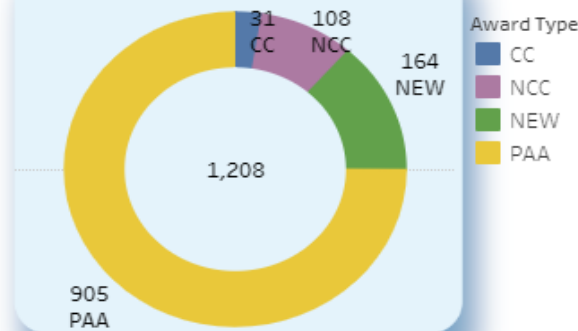
Announcements: 19

Applications: 510

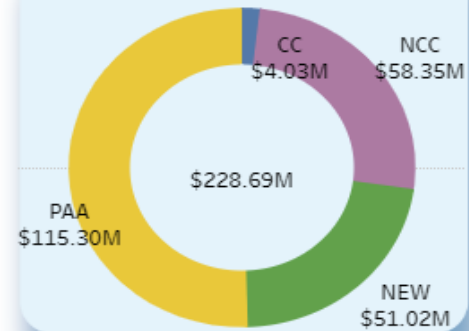
Amount: \$228.69M



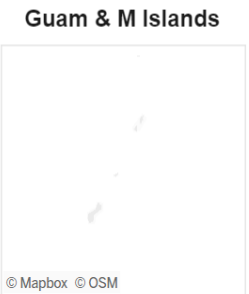
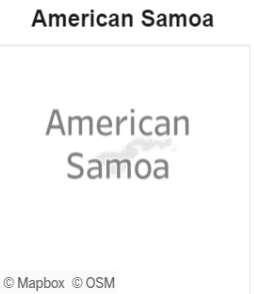
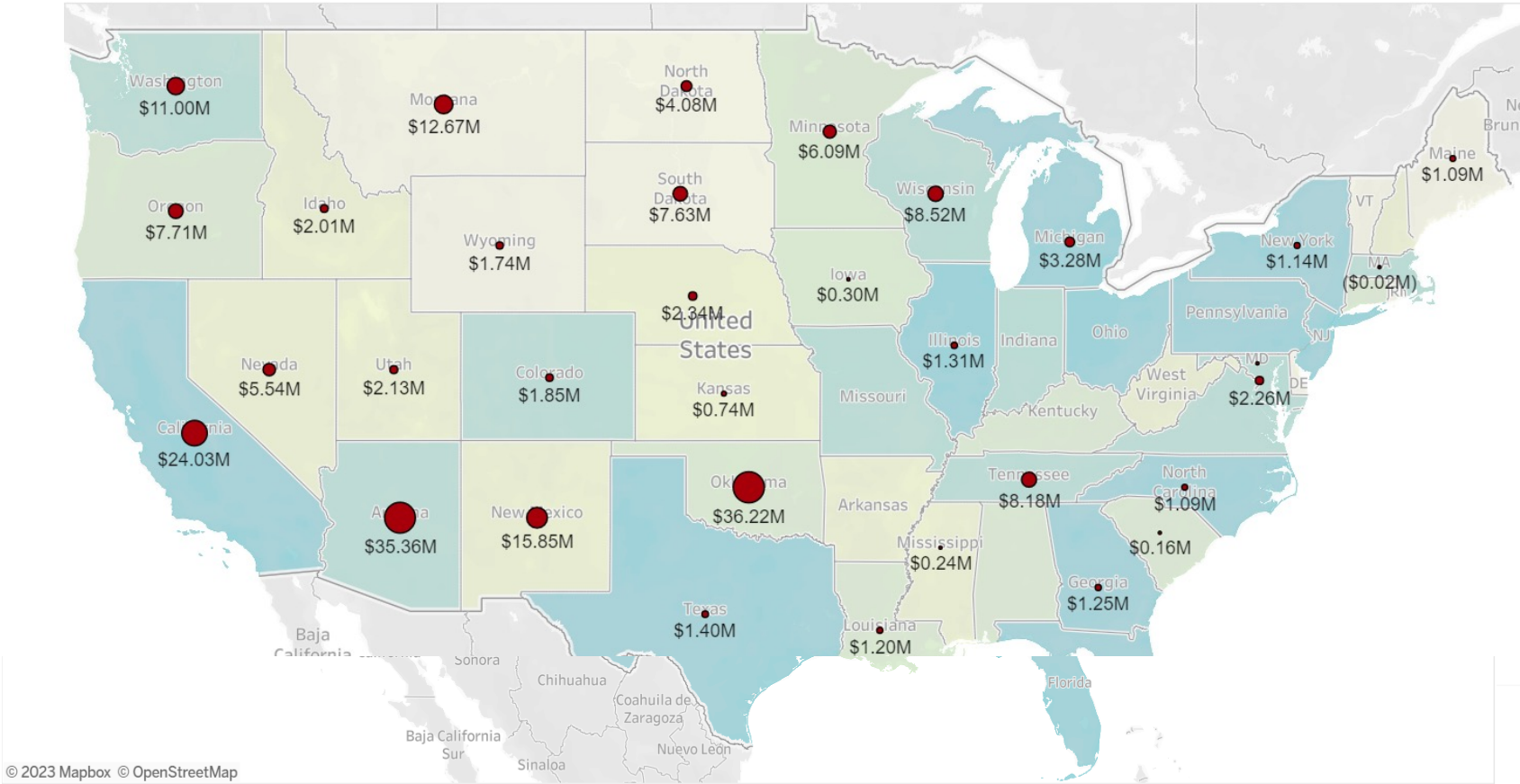
Number of Awards Issued By Award Type



Award Amount by Award Type



FY22 Discretionary Awards by State with Population Data



638 Active Discretionary Grant Recipients

4 ALZ - Addressing Dementia in Indian Country

14 BH2I - Behavioral Health Integration Initiative

0 BHSA - Behavioral Health and Substance Abuse

35 COIPP - Community Opioid Intervention Pilot Projects

37 DVP - Domestic Violence Prevention

12 EPI - Epidemiology and Disease Prevention

7 ETHIC - Ending the HIV/HCV Epidemics in Indian Country

4 FHC - Domestic Violence Prevention: Forensic Healthcare Services

1 HLY - Healthy Lifestyles Youth

4 InMed - American Indians into Medicine (Indians into Medicine)

3 InPsy - American Indians into Psychology (Indians into Psychology)

27 IPP - Injury Prevention Program (also referred to as TIPCAP)

0 IPPIP - Injury Prevention Program – IP Epidemiology Fellowship

0 MSPI - Methamphetamine and Suicide Prevention Initiative

1 NIHOE I - National Indian Health Outreach and Education

6 NU - American Indians into Nursing (Nursing Schools)

2 PARD - Preventing Alcohol-Related Deaths through Social Detoxification
5 PPPP – Produce Prescription Pilot Program

7 PHN - Public Health Nursing

36 SAPTA - SASP: Substance Abuse Prevention, Treatment, and Aftercare

0 SDPI-DPHHI - Special Diabetes Program for Indians - Prevention/Healthy Heart Initiatives

16 SDPIF - SDPI - Federal Service Unites

201 SDPIP - SDPI - Community Directed

93 SDPIS - SDPI - Self-Governance Tribes

36 SPIP - SASP: Suicide Prevention, Intervention, and Postvention

7 TAP - CHAP Tribal Assessment & Planning

6 TDCP - Dental Preventive Clinical Support

21 TMD - Tribal Management

3 TPI - CHAP Tribal Planning & Implementation

3 TSGP - Tribal Self-Governance

34 UIHP2 - Urban Indian Health Program 4-in-1

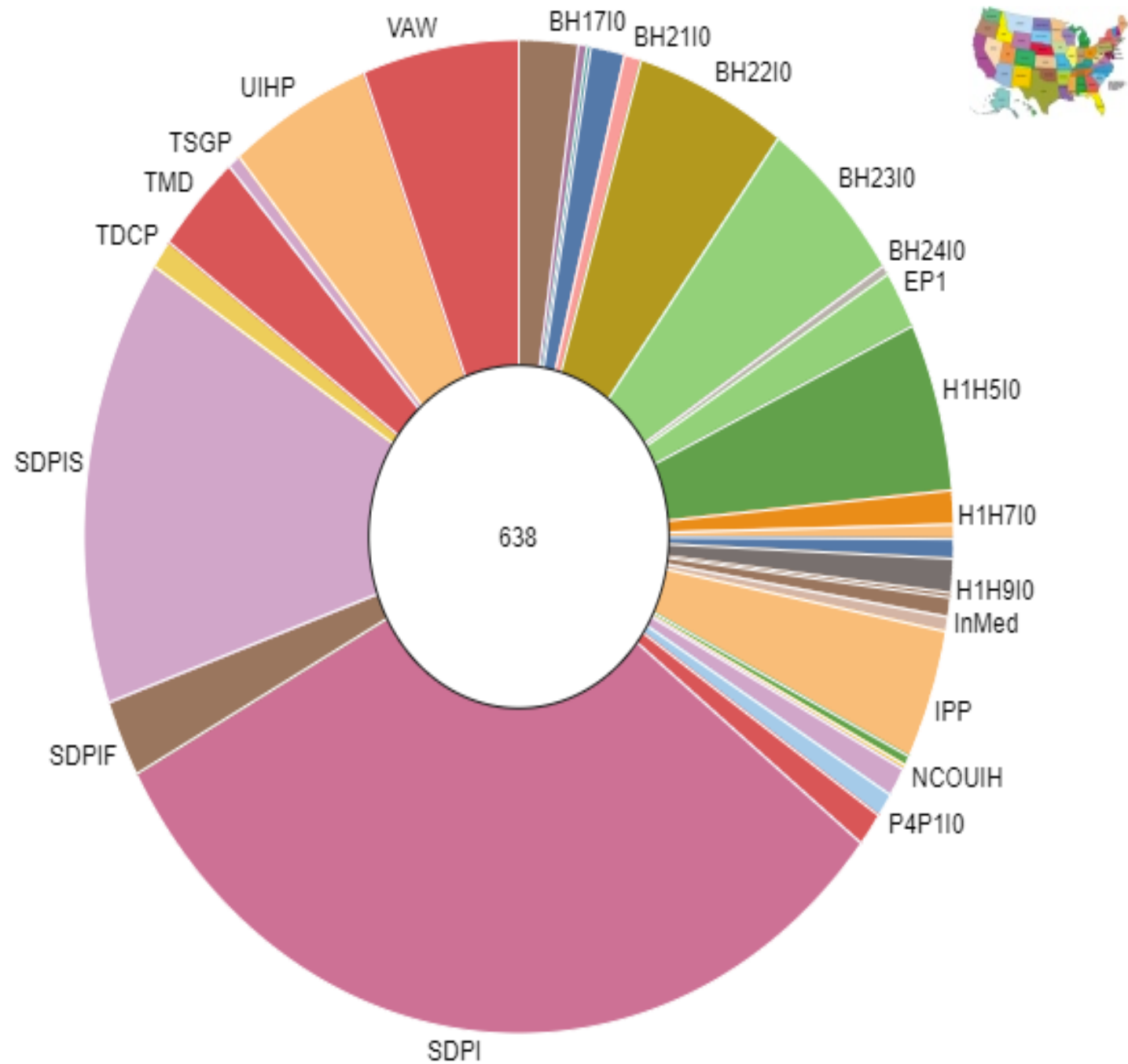
2 UIHP3 - Urban Indian Health Program (Education and Research)

1 YRTC - Youth Regional Treatment Center Aftercare Program

8 ZSI - Zero Suicide Initiative

2 ZSICC – Zero Suicide Initiative Coordinating Center





- Addressing De...
- Behavioral Heal...
- CHAP Tribal As...
- CHAP Tribal Pla...
- Community Opi...
- Dental Preventi...
- Development G...
- Diabetes - Com...
- Diabetes - Self ...
- Diabetes- Feder...
- Domestic Violen...
- Domestic Violen...
- Ending the HIV/...
- Epidemiology a...
- Healthy Lifestyl...
- Indians into Me...
- Indians into Psy...
- Injury Preventio...
- National Indian ...
- Nursing School...
- Preventing Alco...
- Produce Prescri...
- Public Health N...
- Substance Abus...
- Suicide Preventi...
- Tribal Self Gove...
- Urban Indian H...
- Urban Indian H...
- Youth Regional ...
- Zero Suicide Init...
- Zero Suicide Init...

2023 Funding Opportunities

- [HHS-2023-IHS-ALZ-0001](#) _
Addressing Dementia in Indian Country: Models of Care
- [HHS-2023-IHS-NIHOE-0001](#) _
National Indian Health Outreach and Education
- [HHS-2023-IHS-PARD-0001](#) _
Preventing Alcohol-Related Deaths through Social Detoxification
- [HHS-2023-IHS-PPPP-0001](#) _
Produce Prescription Pilot Program
- [HHS-2023-IHS-SDPI-0001](#) _
Special Diabetes Program for Indians
- [HHS-2023-IHS-SDPI-0002](#) _
Special Diabetes Program for Indians, Nashville Area Technical Assistance and Support Program
- [HHS-2023-IHS-TAP-0001](#) _
Community Health Aide Program: Tribal Assessment and Planning
- [HHS-2023-IHS-TMD-0001](#) _
Tribal Management Grant Program
- [HHS-2023-IHS-TPI-0001](#) _
Community Health Aide Program: Tribal Planning and Implementation
- [HHS-2023-IHS-TSGN-0001](#) _
Tribal Self-Governance Negotiation Cooperative Agreement Program
This announcement was originally published in the Federal Register with an incorrect Application Due Date. The correct date was June 30, 2023. The correction notice in the Federal Register can be found at [HHS-2023-](#)
- [HHS-2023-IHS-TSGP-0001](#) _
Tribal Self-Governance Planning Cooperative Agreement Program
- [HHS-2023-IHS-UIHP2-0001](#) _
Urban Indian Health Program 4-in-1 Grant Program
- [HHS-2023-IHS-YRTC-0001](#) _
Youth Regional Treatment Center Aftercare Program
- [HHS-2023-IHS-ZSICC-0001](#) _
Zero Suicide Initiative Coordinating Center

Notice of Funding Opportunities (NOFOs)

Before a NOFO can be published in the Federal Register & grants.gov

Timeline developed with program buy-in

First draft to DGM

Review & Clearance Process

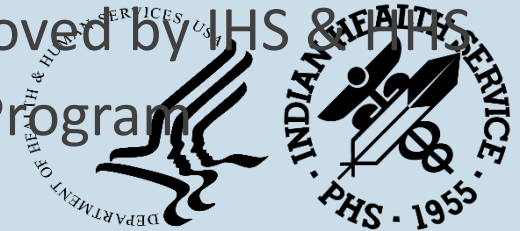
- Division of Planning, Evaluation and Research (DPER)
- Office of Finance and Accounting (OFA)
- Office of General Counsel (OGC)
- Division of Regulatory and Policy Coordination (DRPC)
- OMS transmittal / Action memo for Executive Secretariat (ESS)



Notice of Funding Opportunities (NOFOs)

Review & Clearance Process (cont'd)

- Administration policy priority: HHS review by
 - Assistant Secretary for Financial Resources (ASFR)
 - Immediate Office of the Secretary (IOS)
 - Office of Management and Budget (OMB)
- Limited Competition
 - Rare
 - Limited competition justification deviation must be approved by IHS & HHS
 - E.g. SDPI Nashville Area Technical Assistance & Support Program



Notice of Funding Opportunities (NOFOs)

Signing Process

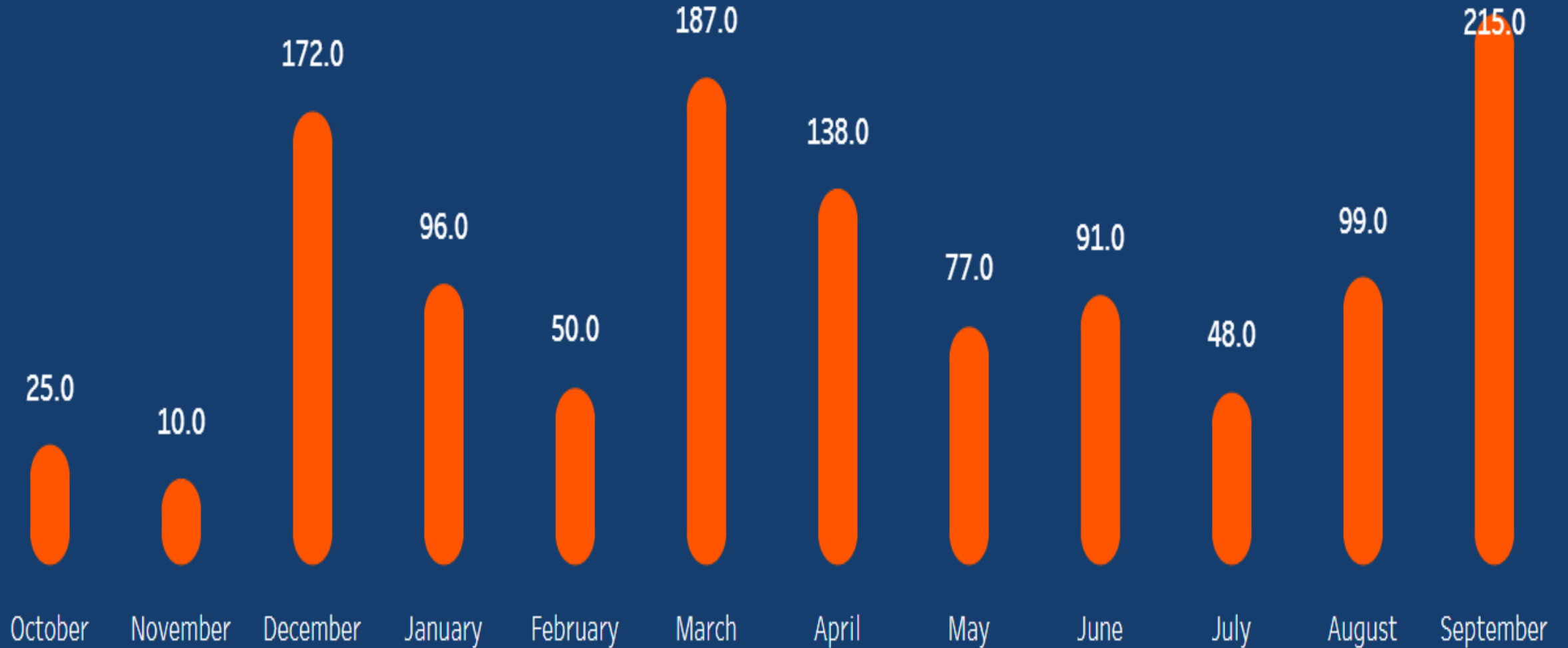
- Division of Planning, Evaluation and Research (DPER)
- Office of Finance and Accounting (OFA)
- Office of General Counsel (OGC)
- Program Officer (PO)
- Program Director (PO Director)
- Division of Grants Management (DGM)
- Division of Regulatory and Policy Coordination (DRPC)
- Office of Management Services Deputy Director (OMS DD)
- Office of Management Services Director (OMS Director)
- Executive Secretariat (ESS) to Office of the Director (OD) for Director Tso



FY2024 NOFO Forecast

Opportunity Number	Opportunity Title	Agency Code	Estimated Funding	Expected Number of Awards	Agency Contact Phone	Agency Contact Email	Estimated Post Date	Estimated Application Due Date	Estimated Start Date
HHS-2024-IHS-ALZ-0001	Addressing Dementia in Indian Country	ALZ	\$1,200,000.00	6	301-443-5204	dgm@ihs.gov	4/1/2024	7/1/2024	9/1/2024
HHS-2024-IHS-COIPP-0001	Community Opioid Intervention Pilot Projects	COIPP	\$10,000,000.00	20	301-443-5205	dgm@ihs.gov	12/1/2024	2/1/2024	4/1/2024
HHS-2024-IHS-INMED-0001	American Indians into Medicine (Indians into Medicine)	INMED	\$1,461,104.00	4	301-443-5206	dgm@ihs.gov	3/1/2024	5/1/2024	7/1/2024
HHS-2024-IHS-INPSY-0001	American Indians into Psychology (Indians into Psychology)	INPSY	\$805,932.00	3	301-443-5207	dgm@ihs.gov	3/1/2024	5/1/2024	7/1/2024
HHS-2024-IHS-NU-0001	American Indians into Nursing (Nursing Schools)	NU	\$1,889,986.00	5	301-443-5208	dgm@ihs.gov	3/1/2024	5/1/2024	7/1/2024
HHS-2024-IHS-NUIBHA-0001	Native Urban Indian Behavioral Health Awareness	NUIBH	\$75,000.00	1	301-443-5209	dgm@ihs.gov	12/4/2023	1/5/2024	3/1/2024
HHS-2024-IHS-NPHR-0001	Native Public Health Resilience	NPHR	\$6,000,000.00	15	301-443-5210	dgm@ihs.gov	2/1/2023	4/1/2023	6/1/2024
HHS-2024-IHS-NPHRP-0001	Native Public Health Resilience Planning	NPHRP	\$3,600,000.00	21	301-443-5211	dgm@ihs.gov	2/1/2023	4/1/2023	6/1/2024
HHS-2024-IHS-TMD-0001	Development Grants for IHS or Facilities (Tribal Management)	TMD	\$2,464,000.00	14 - 16	301-443-5209	dgm@ihs.gov	1/15/2023	3/1/2024	6/1/2024
HHS-2024-IHS-TSGN-0001	Tribal Self-Governance Negotiation	TSGN	\$336,000.00	4	301-443-5210	dgm@ihs.gov	2/1/2024	5/1/2024	8/1/2024
HHS-2024-IHS-TSGP-0001	Tribal Self-Governance Negotiation	TSGP	\$720,000.00	4	301-443-5211	dgm@ihs.gov	2/1/2024	5/1/2024	8/1/2024

Number of Award Actions Processed per Month



KEEPING TRACK



Partner Abbreviation

IHS



Award Process Breakdown Comparison by Award Type

Fiscal Year	Partner Abbreviation	Program Name
FY 2022	IHS	(All)

New Awards



Non Competing Continuation Awards



Post Award Amendment Awards



Nothing's perfect,
the world's not
perfect. But it's
there for us, trying
the best it can;
that's what makes it
so damn beautiful.
- Hiromu Arakawa

In a Perfect Grants World

Congress budgets the federal agency \$ funds or creates statutory federal

Agency has enough funding to develop federal awards without budget negotiations

Program Offices develop funding opportunities for the public or specific groups (Tribes)

Tribes, Organizations have a simple process for application submission

Agency awards \$ funds to all eligible organizations that apply

Recipients effectively manage federal funds and provide accountability

Recipients submit all financial and project reports

Agency closes out grants at the end of every period of performance



Most Common Compliance Issues

Improper spending

Not spending funds according to the project plan

Not submitting audits as required

Fraud, waste, and abuse

Not submitting programmatic and financial reports

No Sub-awardee oversight

Internal accounting systems and cost procedures

Staff not hired as stated in proposal and not enough staff

Personnel not trained in grants

Grants not closed out after the period of performance



Grant closeout

April 2022 DGM was directed by the Deputy Secretary to complete closeout procedures for outstanding grants.

732 IHS grants to be closed

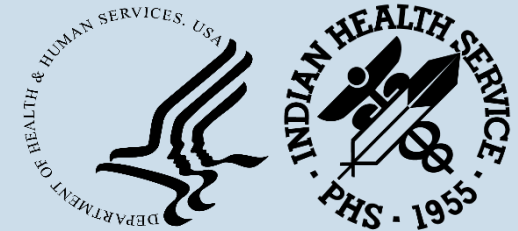
730 closed by December 2022

99% success rate



Most Common Complaints from Recipients

1. Not enough funding to complete the objectives
2. Application process daunting
3. Notice of Funding Opportunity Announcement too complex
4. Reporting requirements cumbersome
5. Not enough technical assistance from federal staff
6. Technical systems challenging
7. Audit requirements
8. Federal agencies require
9. Federal agencies grants/systems don't communicate
10. Policies are not always clear and consistent



Federal Award Changes and Improvements

HHS has started a new initiative regarding NOFOs and IHS will be a pilot for improvements to our NOFOs this year

IHS has joined forces with Dept of Treasury and Office of Management Budget to look into technology barriers with tribes and look for ways to assist

DGM

- Created a training team for the department to assist with professional and recipient training options
- Created a systems team and is working with our federal partners to improve the technical capability of our recipients and federal staff; We are also enhancing our forms, creating technical tools
- Currently creating a monitoring and technical assistance process that will form an official partnership with program offices to conduct better monitoring and technical outreach
- Created a policy team
- System Improvements



Grant Recipient Resources

Policy Alerts are sent to award recipients via a Grant Note in GrantSolutions. They can also be found on the DGM website at <https://www.ihs.gov/dgm/policytopics/>.

Current Policy Alert topics include:

- Policies and Procedures for Submitting & Reviewing Carryover Requests
- Policies and Procedures for Requesting Supplemental Funding
- Policies and Procedures for Grant Closeout
- Policies and Procedures to Request a Change in Scope

New topics will be added throughout the year!



Helpful References

www.ihs.gov/dgm

<http://www.Sam.gov/>

www.Grants.gov

www.TAGGS.gov

[CFR Title 45 Part 75](#) [PDF - 700 KB] - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards.

- [CFR Title 45 Part 75 Subpart E](#) [PDF - 301 KB] - Uniform Administrative Requirements for HHS Awards, "Cost Principles".
- [CFR Title 45 Part 75 Subpart F](#) [PDF - 234 KB] - Uniform Administrative Requirements for HHS Awards, "Audit Requirements".

[CFR Title 2 Part 200](#) [PDF - 662 KB] - Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. _

Key Contacts

Division of Grants Management:

Assigned Grants Management Specialist or DGM@ihs.gov which is a general mailbox only routed to DGM leadership.

Grants:

Resources for applicants, training courses and frequently asked questions. All on their website. Help desk for applicants

Grant Solutions:

Technical Support can be reached weekdays from 7:00am to 8pm (EST) by calling (866) 577-0771 or via email at help@grantsolutions.gov.

Payment Management System (PMS):

Payment return, requests, and Federal Financial Reports (FFR)

Ms. Josiane Yewawa

Josiane.Yewawa@psc.hhs.gov

301-492-4074



